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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 10930.00128 First Inventor Gregory P. Gorman PLASTIC CLIP CONSTRUCTION Title Express Mail Label No. EV 305250839 US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. 🔲 Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. 🛛 Specification [Total Pages | a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications ii. 🗌 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention - Brief Summary of the Invention 9. 🔲 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 🔲 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure English Translation Document (if applicable) 11. 🔲 4. 🛛 Drawing(s) (35 U.S.C.113) [Total Sheets 4 12. Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 5. Oath or Declaration Citations [Total Pages | 2 13. 🔲 **Preliminary Amendment** a. Newly executed (original or copy) 14. 🛛 b. Copy from a prior application (37 CFR 1.63 (d)) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) Nonpublication Request under 35 U.S.C. 122 16. 🗍 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. Other: Certificate of Mailing by Express Mail 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10 / 164,439 Prior application information: Examiner Robert John Sandy Group / Art Unit: 3677 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS 22908 □ Customer Number or Bar Code Label or

Correspondence address below (Insert Customer No. or Attach bar code label here) Jon O. Nelson Name Banner & Witcoff, Ltd. Ten South Wacker Drive Address City Chicago IL State Zip Code 60606 Country USA Telephone 312-463-5000 312-463-5001 Name (Print/Type) Jon O. Nelson Registration No. (Attorney/Agent) 24.566 Signature Date September 10, 2003

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PTO/SB/17 (01-03)
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Complete If Known **FEE TRANSMITTAL** Application Number for FY 2003 September 10, 2003 Filing Date First Named Inventor Gregory P. Gorman Patent fees are subject to annual revision. **Examiner Name** Robert John Sandy ☐ Applicant claims small entity status. See 37 CFR 1.27 Art Unit 3677

TOTAL AMOUNT OF PAYMENT (\$) 750			Attorney Docket No. 10930.00128						
METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
			3. ADDITIONAL FEES						
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None ☐ Order		Large Entity Small Entity							
☐ Deposit Account:			Feo (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Deposit Account 19-0733		1051	130	2051	65	Surcharge - late filing fee or oath			
Number 19973			50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
Deposit		1053	130	1053	130	Non-English specification			
Account Banner & Wilcoff, Ltd. Name		1812	2,520	1812	2,520	For filing a request for exparte reexamination			
The Commissioner is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☐ Credit any overpayments			920*	1804	920*	Requesting publication of SIR prior to Examiner action			
☐ Charge any additional fee(s) during the pendency of this application ☐ Charge fee(s) indicated below, except for the filling fee			1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
to the above-identified deposit account.			110	2251	55	Extension for reply within first month			
FEE CALCULATION			410	2252	205	Extension for reply within second month			
BASIC FILING FEE Large Entity Small Entity		1253	930	2253	465	Extension for reply within third month			
	<u>Description</u> Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month			
1 ''' 1 '''	filing fee 750	1255	1,970	2255	985	Extension for reply within fifth month			
	on filing fee	1401	320	2401	160	Notice of Appeal			
1	filing fee	1402	320	2402	160	Filing a brief in support of an appeal			
	sue filing fee	1403	280	2403	140	Request for oral hearing			
	isional filling fee	1451	1,510	1451	1,510	Petition to Institute a public use proceeding			
SUBTOTAL (1) (\$) 750			110	2452	55 .	Petition to revive - unavoldable			
305/07/2(1)			1,300	2453	650	Petition to revivo – unintentional			
2. EXTRA CLAIM FEES			1,300	2501	650	Utility issue fee (or reissue)			
Extra Fee from Fee Claims below Paid		1502	470	2502	235	Design Issue fee			
Total Claims 5 -20 ** = 9	X 9 = 81	1503	630	2503	315	Plant Issue fee			
Independent		1460	130	1460	130	Petitions to the Commissioner			
Claims 2 -3 ** = 0	X = 0	1807 1806	180	1807 1806	50 180	Processing fee under 37 CFR 1.17 (q) Submission of Information Disclosure			
Multiple Dependent X = 0			1			Strnt Recording each patent assignment			
Large Entity Small Entity Fee Fee Fee Fee	Fee Description	8021	40	8021	40	per property (times number of properties)			
code (5) code (5) -	Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))			
	ndependent claims in excess of 3 shultiple dependent claim, if not paid	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))			
1204 94 2204 42 **	Reissue independent claims over priginal patent	1801	750	2801		Request for Continued Examination (RCE)			
	Relssue claims in excess of 20 and wer original patent	1602	900	1802	900	Request for expedited examination of a design application			
SUBTO	Other fe	Other fee (specify)							
**or number previously paid, if greater; For Relssues, see above			*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0						

SUBMITTED BY	SUBMITTED BY Complete (d'applicable)						
Name (Print/Type)	Jon O. Nelson	Registration No. Attorney/Agent)	24,566	Telephone	312-463-5000		
Signature	100	~ 2/2l~		Date	September 10, 2003		

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Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (end by the USPTO to process) an application. Cordidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.17 included to late 12 minutes to complete, including gathering, preparing, and submitting the complete opplication form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form after suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231



TEN SOUTH WACKER DRIVE CHICAGO, ILLINOIS 60606-7407

TEL: 312.483.5000 FAX: 312.483.5001 www.bannerwitcoff.com

JON O. NELSON
DIRECT DIAL: (312) 463-5427
INTERNET: jnelson@bannerwilcoff.com

September 12, 2003

VIA FACSIMILE

Ms. Streater Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Ms. Streater:

Please be advised this case is for a large entity. Corrected pages for the recent submission are attached.

Very truly your

Jon O. Nelson

JON:dlc

CERTIFICATE OF MAILING (PATENT)

Express Mail No. EV 305250839 US

Deposited September 10, 2003

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Honorable Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By: X = 8 3

Patent Application of:

Gregory P. Gorman et al.

Title:

Plastic Clip Construction

Attorney Docket No.

10930.00128

- X Utility Patent Application Transmittal (1 page)
- X Fee Transmittal for FY 2003(1 page; in duplicate)
- X Application Data Sheet (4 pages)
- X Specification (16 pages, 5 claims and Abstract)
- X Four (4) Sheets Formal Drawings
- X Declaration and Power of Attorney (executed; 2 pages)
- X Return Receipt Postcard